

# Approximation Algorithms by bounding the OPT

Instructor

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# Approximation Algorithms

- Approximate algorithms give approximate solution to NP-Complete problems which is close to optimum solution.

## Maximization problems

E.g. Clique

Optimum clique  $>$  Approx. clique  $\geq \frac{1}{2} * (\text{Optimum clique})$

## Minimization problems

E.g. Vertex Cover

Minimum vc  $<$  Approx. vc  $\leq 2 * (\text{Minimum vc})$

# Factor-2 algorithm

- Find a maximal matching in the graph and output the matched vertices.

Let  $S$  be this set of vertices.

**Claim 1:**  $S$  forms a vertex cover.

**Proof:** Suppose not. Then there exists an edge

$e = (u,v)$  such that neither  $u$  nor  $v$  is in  $S$ . This implies that the matching could have been extended by this edge  $e$  and hence was not maximal --- a contradiction.

**Claim 2:**  $|S| \leq 2 \text{ OPT}$

**Proof:** We'll prove this by giving some lower bound say  $LB$  for  $\text{OPT}$  and showing that  $|S| \leq 2 LB$  - **Standard Technique**

# Lower bounding the OPT

Claim:  $OPT \geq$  size of any (maximal) matching

**Proof:** Let  $M$  be a (maximal) matching. For every  $e = (u, v)$  in  $M$ , any vertex cover must pick at least one of  $u$  and  $v$ . Hence size of any vertex cover  $\geq |M|$ . Hence, in particular,  $OPT \geq |M|$

Clearly  $|S| = 2 * |\text{maximal matching}|$

Hence Claim2 follows.

# Can the approximation guarantee be improved?

- Following Qs need to be addresses
  - Can the approximation guarantee be improved by a better analysis?
  - Can an approximation algorithm with a better guarantee be designed using the lower bounding scheme of maximal matching?
  - Is there some other lower bounding technique that can give an improved guarantee for vertex cover?

# Tight Example

- What is the meaning of Q1?
- Can we get a solution  $S$  using the above algorithm such that  $|S| < 2 * OPT$  (for every instance of the problem)? Say  $|S| = 3/2 * OPT$ ?
- Answer to the Q is No. Here is an example of an instance on which the above algorithm will always give a solution whose cost =  $2 * OPT$ .

Complete Bipartite Graph:  $K_{n,n}$  :  $OPT = n$ ,  $|S| = 2n$ .

# Q2

- i.e. Can we design an algorithm that gives a vertex cover solution  $S$  such that  $|S| < 2 * |\text{maximal matching}|$  (for every instance of the problem)? Say  $|S| \leq 3/2 * |\text{maximal matching}|$ ?
- Ans: No. Here is an example of an instance where the size of any vertex cover is at least  $2 * |\text{maximal matching}|$ .
- Example:  $K_n$  : Complete graph of size  $n$ ,  $n$  odd.

$|\text{Size of maximal matching}|$  is  $(n-1)/2$  and  $\text{OPT} = n-1$ .  
Thus the size of any vertex cover  $\geq \text{OPT}$

$$= n - 1$$

$$= 2 * |\text{maximal matching}|.$$

# Q3

- Still an Open Problem!!!



# Metric Travelling Salesman Problem

## Problem Statement

**Given** A complete graph  $G$  with non-negative edge costs that satisfy triangle inequality

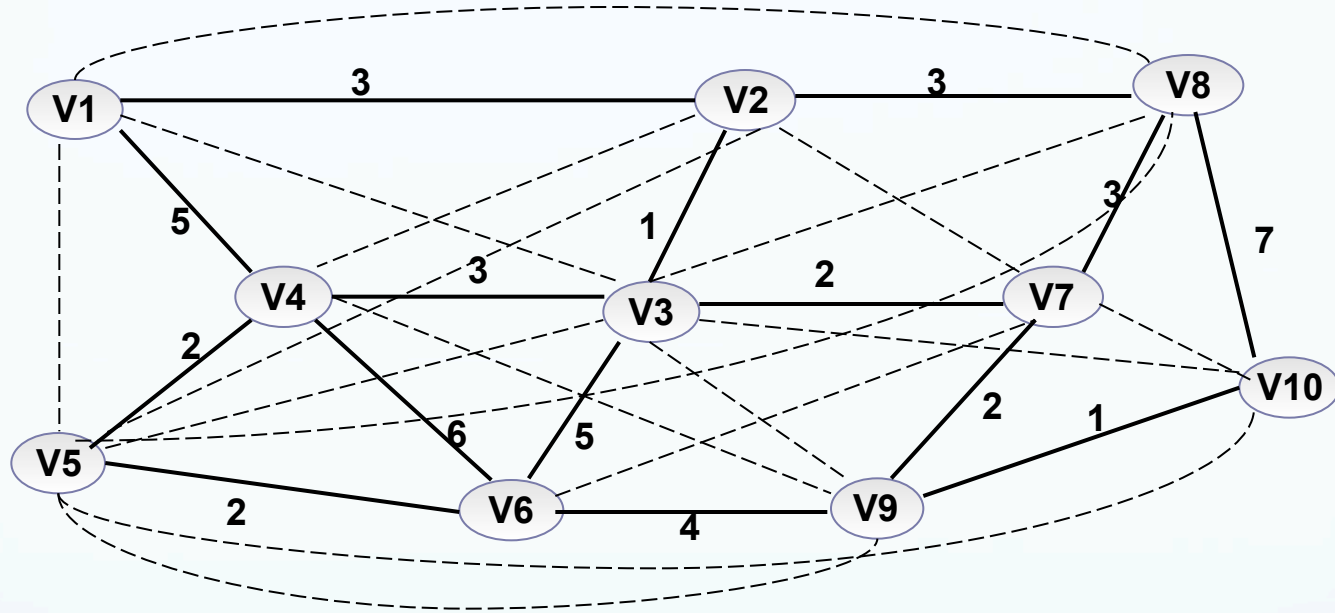
**To Find** A minimum cost cycle visiting every vertex exactly once.

# Metric TSP - factor 2 approx. algorithm

1. Find an Minimum Spanning Tree (MST)  $T$  of  $G$ .
2. Double every edge of the MST to obtain an Eulerian graph.
3. Find a Eulerian tour,  $T'$ , on  $G$ .
4. Output the tour that visits vertices of  $G$  in the order of their first appearance in  $T'$ . Call this tour  $C$ . (Short Cutting)

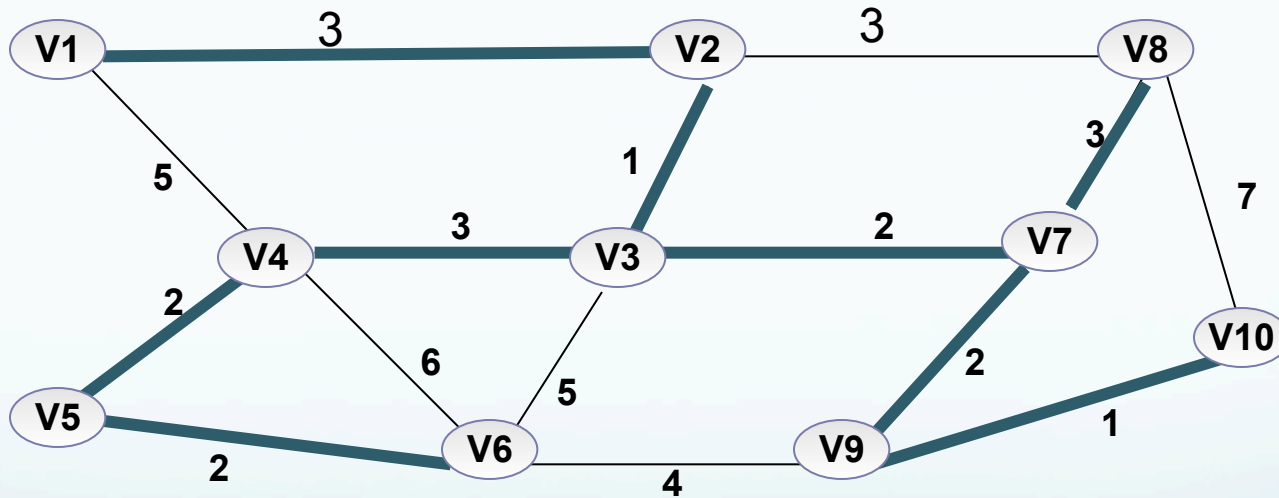
# Example

## Given a Complete Graph

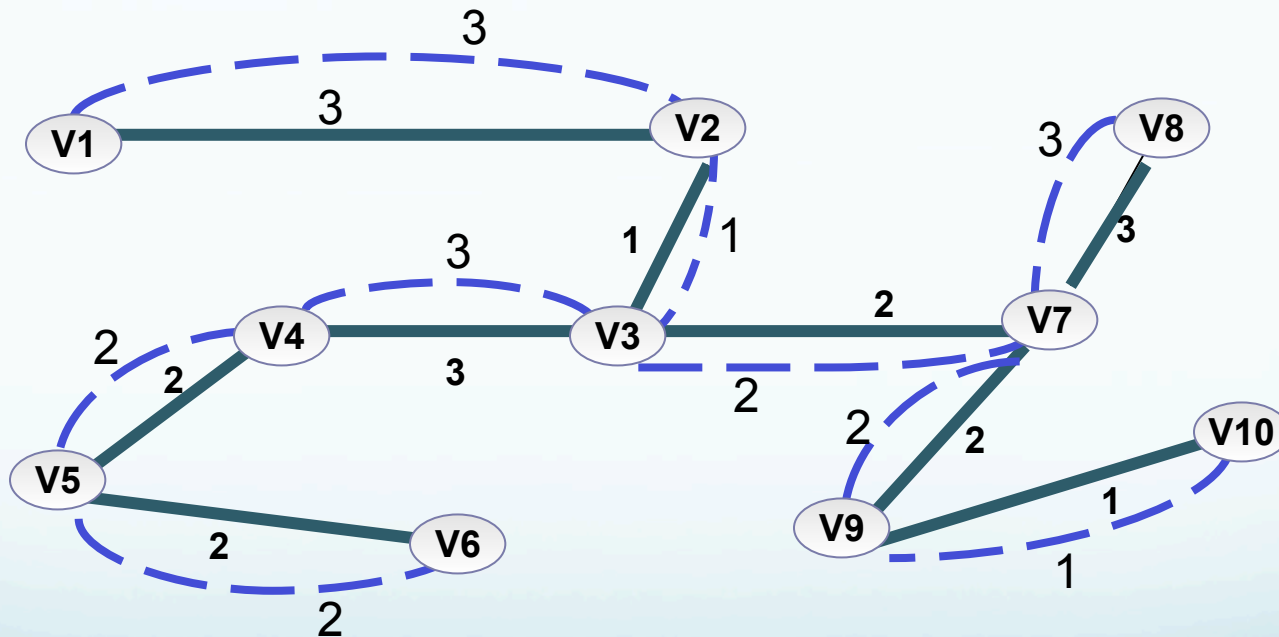


Edges shown dotted do not carry weight and are assumed to be shortest path between the pair of vertices (due to triangular inequality).

# Step1: Compute Minimum Spanning Tree

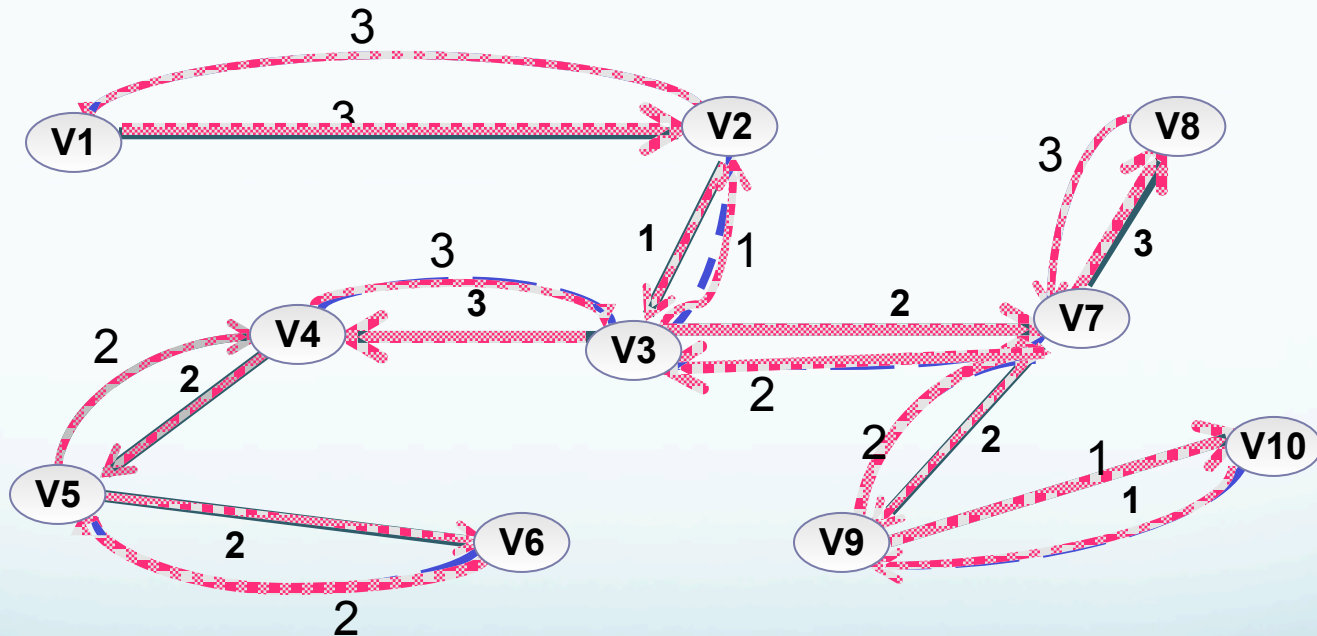


# Step 2: Double each edge of MST



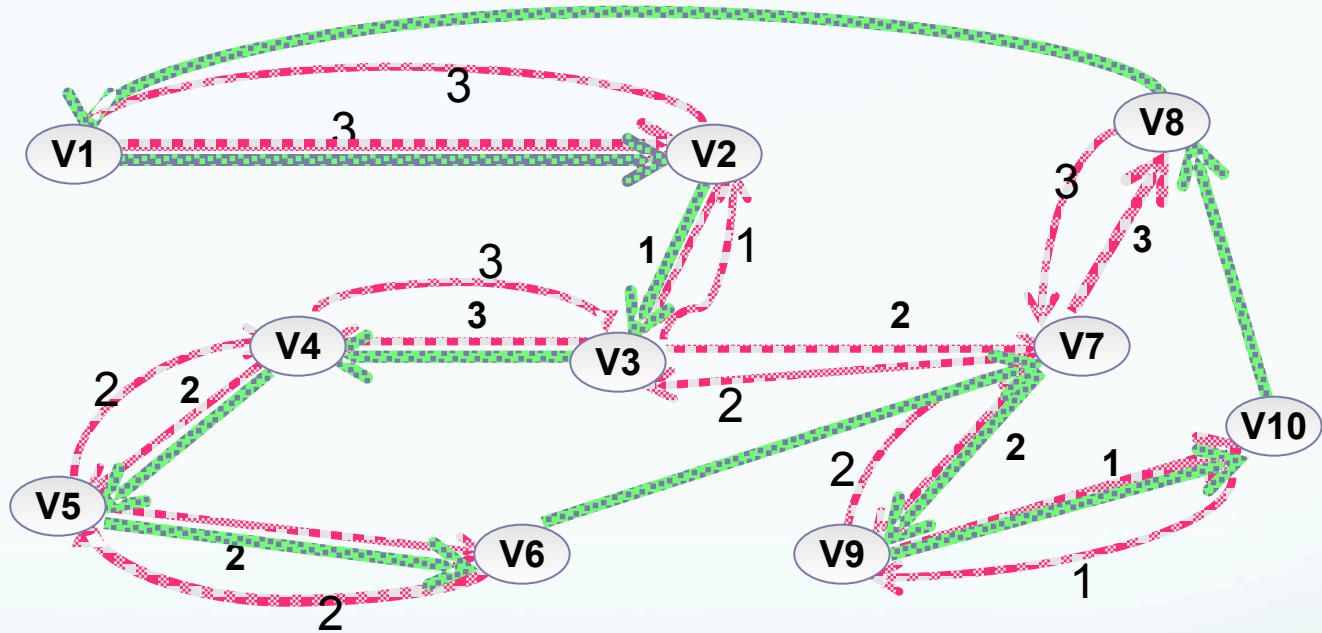
# Step 3: Computing Eulerian Cycle

A cycle is one in which each edge visited exactly once



$v_1 \rightarrow v_2 \rightarrow v_3 \rightarrow v_4 \rightarrow v_5 \rightarrow v_6 \rightarrow v_5 \rightarrow v_4 \rightarrow v_3 \rightarrow v_7 \rightarrow v_9 \rightarrow v_{10} \rightarrow v_9 \rightarrow v_7 \rightarrow v_8 \rightarrow v_7 \rightarrow v_3 \rightarrow v_2 \rightarrow v_1$

# Step 4: Computing solution for TSP

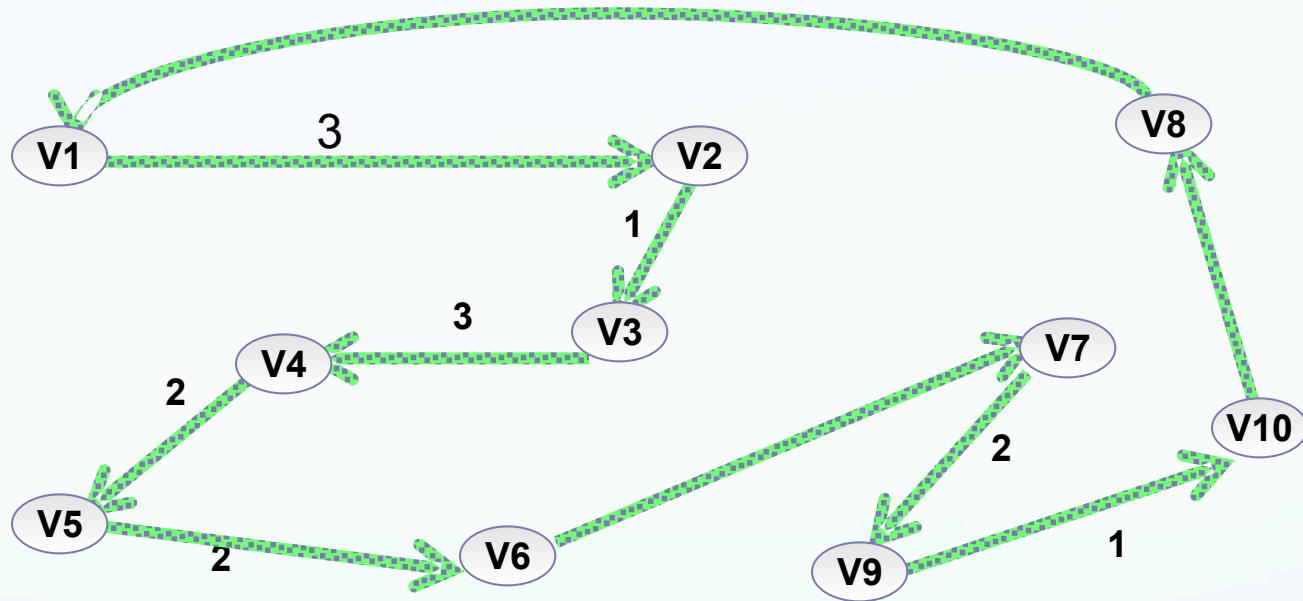


v1 → v2 → v3 → v4 → v5 → v6 → v5 → v4 → v3 → v7 → v9 → v10 → v9

→ v7 → v8 → v7 → v3 → v2 → v1



# Approximate solution for TSP



$v1 \rightarrow v2 \rightarrow v3 \rightarrow v4 \rightarrow v5 \rightarrow v6 \rightarrow v7 \rightarrow v9 \rightarrow v10 \rightarrow v8 \rightarrow v1$



# Metric TSP - factor 2 approx. algorithm

We now show that the proposed algorithm is indeed a factor 2 approximation algorithm for metric TSP

Observe that:

- Removing any edge from an optimal solution to TSP would give a spanning tree of the graph.
- So the cost of an MST in the graph can be used as lower bound for obtaining factor 2 for this algorithm

# Metric TSP - factor 2 approx. algorithm

- Therefore,  $\text{cost}(T) \leq \text{OPT}$
- $T'$  contains each edge of  $T$  twice, so  $\text{cost}(T') = 2 * \text{cost}(T)$
- Also,  $\text{cost}(C) \leq \text{cost}(T')$  because of triangle inequality
- Hence  $\text{cost}(C) \leq 2 * \text{OPT}$

FACTOR  $3/2$   
APPROXIMATION  
ALGORITHM FOR TSP

# Metric TSP - improving the factor to $3/2$

Observations:

Consider why did we have to double the MST - to obtain an Euler tour.

Can we have an Euler tour with lower cost?

YES!

A graph has an Euler tour if and only if all its vertices have even degrees. We therefore need to be bothered about the vertices of odd degree only.

# Metric TSP - improving the factor to $3/2$

➤ Let  $V'$  be the set of vertices of odd degree

➤ Cardinality of  $V'$  must be even. WHY?

Because the sum of degrees of all vertices in MST has to be even.

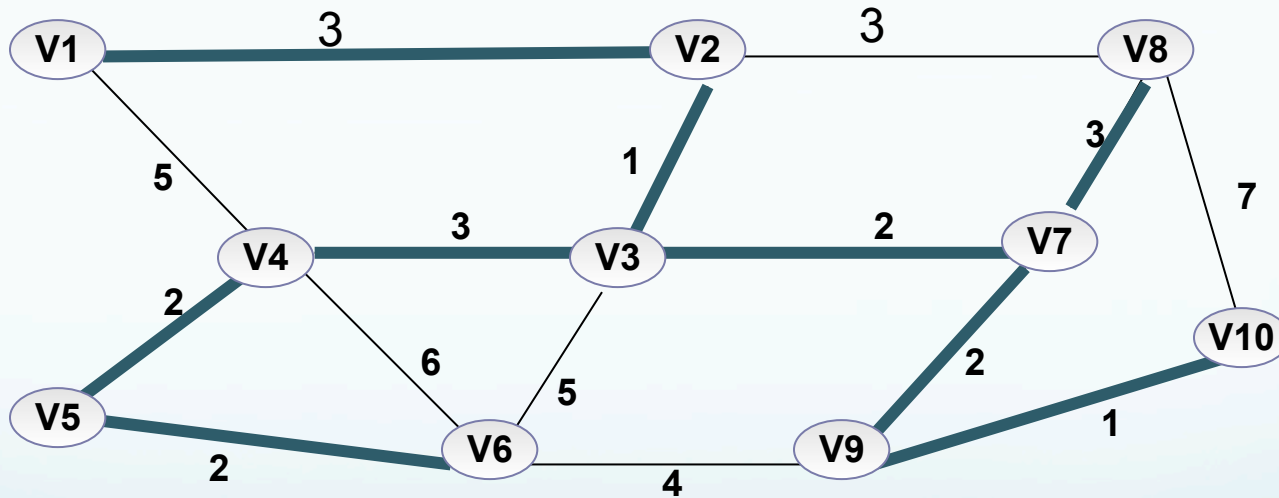
➤ Add to the MST, a minimum cost perfect matching on  $V'$  so that every vertex has an even degree.

➤ We also know that a polynomial time algorithm exists for finding the minimum cost perfect matching.

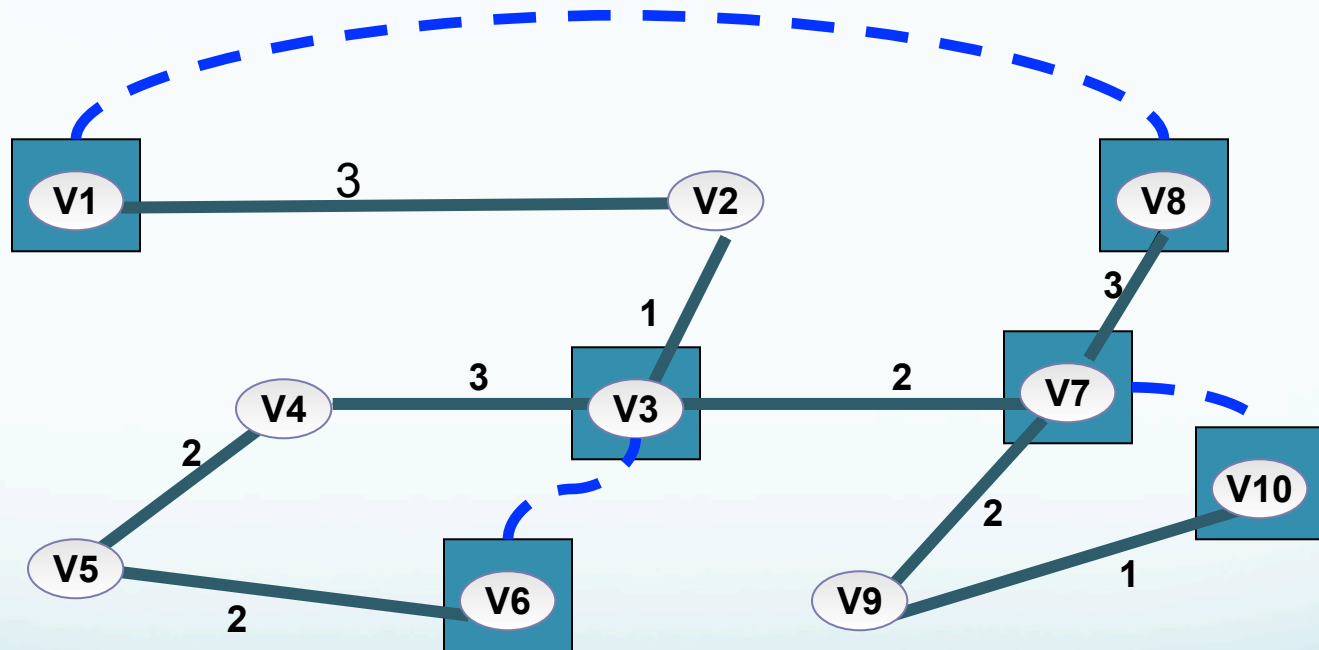
# Metric TSP - factor $3/2$ approx. algorithm

- Step 1: Find an MST,  $T$ , of  $G$ .
- Step 2: Compute a minimum cost perfect matching,  $M$ , on the odd degree vertices of  $T$ . Add  $M$  to  $T$  and obtain an Eulerian graph.
- Step 3: Find an Euler tour,  $T'$ , of this graph.
- Step 4: Output the tour that visits vertices of  $G$  in order of their first appearance in  $T'$ . Call this tour  $C$ .

# Step1: Compute Minimum Spanning Tree



# Step2: Compute Minimum Cost Perfect Matching

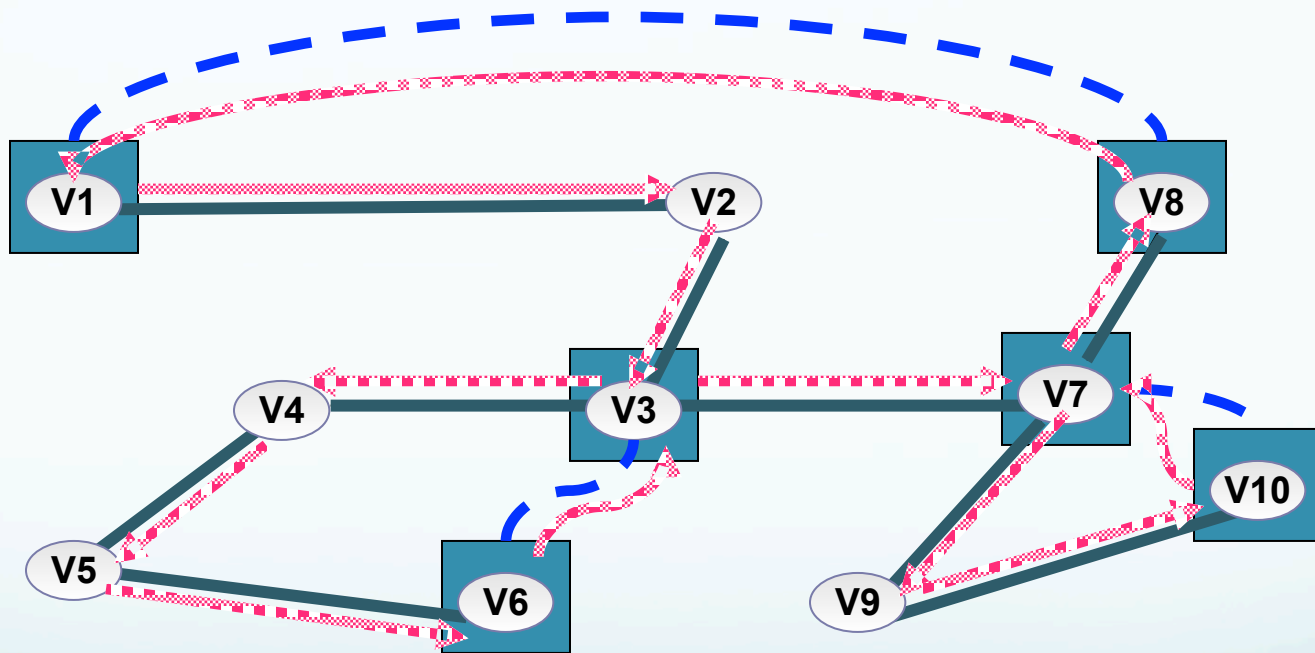


V1, V3, V6, V7, V8, V10 are odd degree vertices



# Step 3: Computing Eulerian Cycle

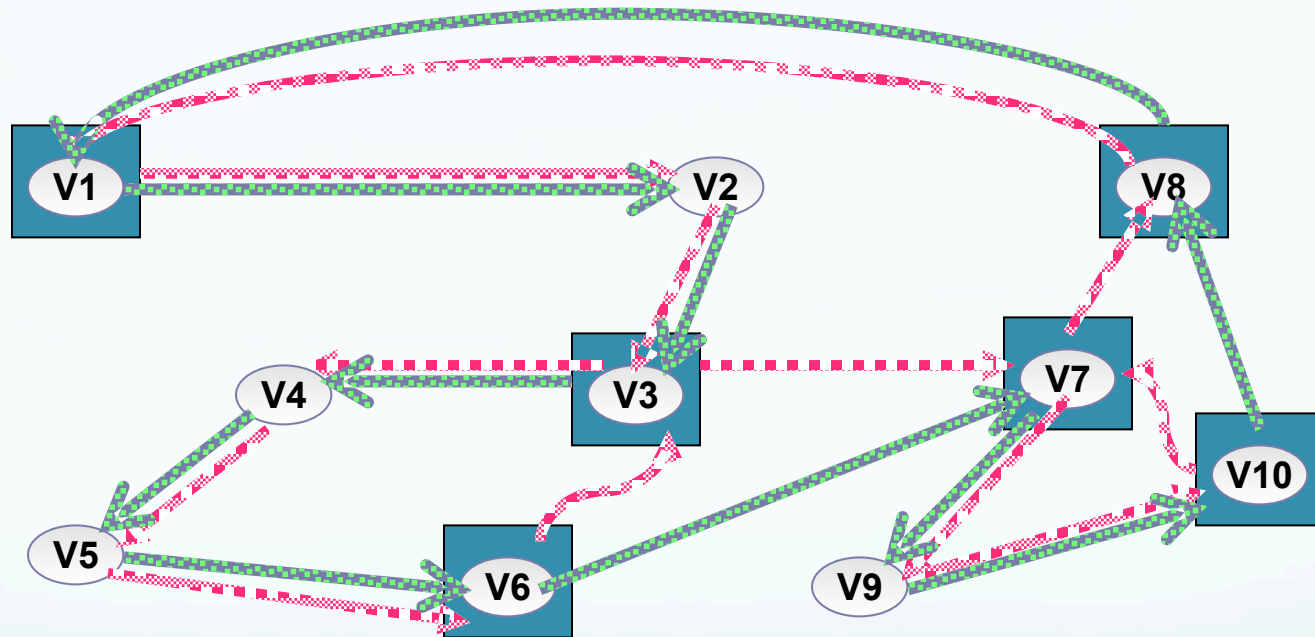
A cycle is one in which each edge visited exactly once



Eulerian Cycle :

V1 → V2 → V3 → V4 → V5 → V6 → V3 → V7 → V9 → V10 → V7 → V8 → V1

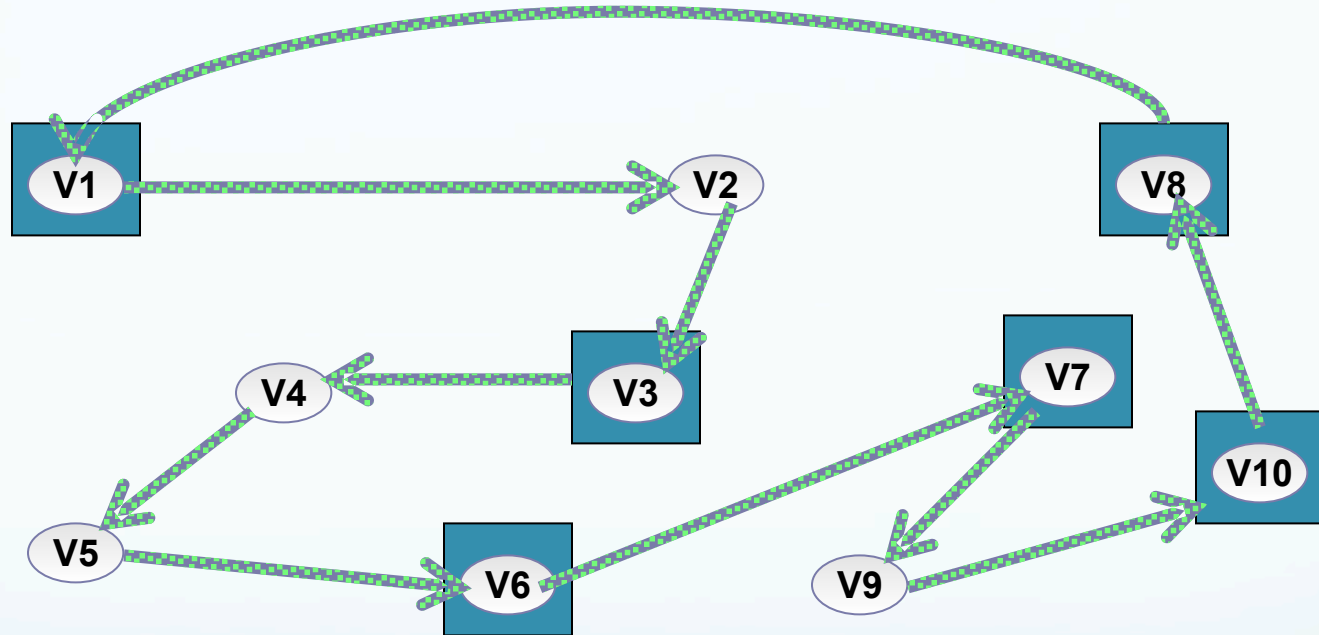
# Step 4: Computing solution for TSP



Solution for TSP :

V1 → V2 → V3 → V4 → V5 → V6 → V7 → V9 → V10 → V8 → V1

# Approximate solution for TSP



Solution for TSP :

V1 → V2 → V3 → V4 → V5 → V6 → V7 → V9 → V10  
→ V8 → V1

# Metric TSP - factor $3/2$ approx. algorithm

In order to show that the proposed algorithm is a factor  $3/2$  approximation algorithm for metric TSP, we first need to understand the following:

Given a subset  $V'$  of  $V$  with even number of elements, and a minimum cost perfect matching  $M$  on  $V'$ ,  $\text{cost}(M) \leq \text{OPT}/2$

Let us try to prove the above result !

# Metric TSP - factor $3/2$ approx. algorithm

- Consider an optimal TSP tour of  $G$ , say  $t$ .
- Let  $t'$  be the tour on  $V'$  obtained by shortcutting  $t$ .
- Clearly,  $\text{cost}(t') \leq \text{cost}(t)$  because of triangle inequality.
- Now  $t'$  is the union of two perfect matchings on  $V'$  each consisting of alternate edges of  $t$ . Therefore, the cheaper of these matchings has cost  $\leq \text{cost}(t')/2 \leq \text{OPT}/2$ .
- Hence the optimal matching also has cost at most  $\text{OPT}/2$ .

# Metric TSP - factor 3/2 approx. algorithm

In view of this result, let us now see if the proposed algorithm ensures an approximation guarantee of 3/2 for metric TSP Problem

Cost of the Euler tour,

$$\text{cost}(T') \leq \text{cost}(T) + \text{cost}(M) \leq OPT + 1/2OPT = 3/2OPT$$

Using triangle inequality,  $\text{cost}(C) \leq \text{cost}(T')$ .

Hence Proved!

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Any Questions....





**Thank You!**